

A Report from the University of Vermont Transportation Research Center

Vermont 2016 Annual Seat Belt Use Survey

Final Report

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1 Introduction

The UMV TRC is contracted to conduct seat belt observational surveys to evaluate use rates in Vermont after the annual "Click-It-or-Ticket" enforcement mobilizations in May of 2015 and 2016. This report was prepared pursuant to the "GHSP Annual Seat Belt Survey" scope of work for the contract with the Vermont Agency of Transportation (VTrans). The objective of the project is to continue the annual survey of seat belt use in accordance with 23 CFR Part 1340 – Uniform Criteria for State Observational Surveys of Seat Belt Use. The purpose of this report is to document the activities which were completed under this contract for the 2016 survey.

In 2016, there were 64 reported fatalities in Vermont due to motor vehicle crashes. Of those fatalities, 45% of the occupants having had a seatbelt available, died not wearing the safety belt (AOT, 2017b). The use of safety belts is a major factor in reducing the number of fatalities on Vermont roads, as well as the number of those injured in crashes. Vermont's seat belt use rate has been increasing steadily over the last few decades from approximately 54% in 1992 to approximately 86% in 2015 (Tilton et. al., 2016; VCJR, 2008). Fatalities have also dropped in that time from approximately 90 deaths in 1992 to 57 in 2015 (Tilton et. al., 2016; AOT, 2017a).

The Vermont Governor's Highway Safety Program (GHSP) exists to support safe driving on Vermont highways. By promoting awareness through education, along with enforcement, the GHSP strives for zero deaths on the road. The GHSP has been conducting seat-belt use observation surveys to gauge usage on Vermont roads and compare the results over time. 2008 marked the tenth year that the GHSP used the current NHTSA-approved methodology which includes the survey matched with the high-visibility enforcement program ("Click-It-or-Ticket") (VCJR, 2008). Each survey presents an opportunity to reflect on the effectiveness of the high-visibility enforcement efforts. Over the past twelve years, the seat belt usage rate statewide in Vermont has been above 80% with lower use in the more rural areas of the state (GHSP, 2016).

The purpose of this study was to conduct the annual seat belt survey for 2016 at 82 roadside locations to determine the percentage of drivers and front-seat passengers who were using seat belts. The field work for this survey was conducted primarily during the months of June, July, and August in 2016, following the annual "Click-It-or-Ticket" campaign in May. Following the field observations, NHTSA-approved procedures were followed to develop a statewide weighted average of seat-belt use, along with an estimate of the standard error and the non-response rate for the 2016 survey.

2 Study Area and Survey Design

The study area and design for this survey follows the NHTSA-approved design as established by VTrans in accordance with 23 CFR Part 1340. Sites were selected to reflect areas that account for 85 percent of fatalities in Vermont based on road-segment type from an NHTSA-approved road inventory. From these selected locations, geographic probabilities of selection were then determined for use in the statistical weighting process. Assignment of observation times and procedures followed the requirements of 23 CFR Part 1340 by working between 7:00am and 6:00pm during all days of the week selected at random. Drivers and passengers were recorded as wearing a seat belt if the shoulder belt was visible in front of the person's shoulder (23 CFR 1340, 2012). Computation of the weighted average, including sampling weights and standard error also followed the CFR 1340 guidelines and the NHTSA-approved survey design.

The survey sites were stratified across two dimensions during the site selection process: geographically by county groups (CG) and roadway functional classification (FC). All of Vermont's counties were included in the site-selection process and were grouped in the survey design as follows:

Table 1 County Group Description

Roadway functional classes were stratified in two categories – arterials and collectors. Therefore, in all, 14 stratified classifications were used to select road segments for observation - one for each CG in each FC. A total of 82 primary sites were selected, along with 22 back-up sites intended to provide substitute locations in case one of the primary sites would not be observed. In 2016,

County Group Counties

one of the primary sites could not be used so a back-up site was substituted. The primary site featured less than 10 vehicles in the 45-minute period of observation, so conducting the observation was not feasible. A map of the final set of observation sites is provided in Figure 1.

Figure 1 Observation Sites Used in the 2016 Seat Belt Use Survey

The 82 sites were designed to collect an adequate set of observations for the effective estimation of a statewide seat-belt use rate with a standard error that is under 2.5% and a "non-response" rate, or "couldn't tell" rate that is under 10%, as dictated by the 23 CFR 1340. This design was expected to generate between 12,000 and 15,000 observations of drivers on Vermont roads and to meet the CFR requirement for standard error. During the 2016 survey, 15,057 successful observations of drivers were made. Along with 4,639 successful observations of front-seat passengers, a total of 19,696 seat belt observations were made.

3 Methodology

3.1 Data Collection Method

A method for collecting the observation data was first developed while staff were being trained to make effective observations. Sites closest to the UVM TRC in Burlington were used for testing the roadside observation procedures in 2015 before implementing the survey on a full scale. The goals of the method development were (1) to keep roadside observers safe, and (2) to collect effective counts of seat-belt use rates with non-response rates of less than 10%.

Staff considered several different options for how to create the optimal counting procedure which would allow for maximum effectiveness and ease for the user. An iPad was chosen as the ideal tool as it would allow for easy data collection that could be saved for future reference. Staff decided to use the "Tally Counters" app for iPad as it allowed for multiple variables to be counted and stored at the same time. The most effective method for saving the data for each site was to take a screenshot of the iPad screen with the Tally Counter app showing at the end of the count. This allowed the precise coordinates of the observation location to be recorded as well. The screen shot was then tagged with the site location and time. Screenshots (see Error! Reference source not found.) were then sent back to the office where another staff person entered the data into an Excel worksheet and archived the screenshot.

For each site, the following data was recorded:

- Name of observer
- Site ID
- Direction of travel being observed
- Date and start and end times of observation

For each observation, the seat belt use status of driver and front-seat passenger (if applicable) were recorded:

- Belted (if the shoulder belt is visible in front of the person's shoulder)
- Unbelted (if the shoulder belt is not in front of the person's shoulder)
- Couldn't Tell (if it cannot be determined if the driver or passenger is belted)

Figure 2 Example Screenshot

Observations were conducted during randomly selected daylight hours on weekdays between 7 a.m. and 6 p.m. Data collection was conducted for 45 minutes at each site.

Several challenges to data collection presented themselves over the course of the field work. While weather, especially rain, had the potential to impact staff's ability to collect data, it proved to be the sun that was the biggest obstacle to making observations. Overall the most common challenges were:

- Glare on windshields created a challenge as seat belt observers could sometimes move positions or observe in the opposite direction to avoid glare, but often this did not solve the problem.
- \triangleright Seats with a built-in seat belt which was anchored into the seat rather than on the frame of the vehicle also created a difficult situation to see if the seat belt was being used or not.
- \triangleright Cabins of large vehicles were often too high for staff to see inside. Large vehicles included construction vehicles and large trucks.
- \triangleright Clothing color that matched the color of the seat belt was another challenging situation to make a clear observation.

Each of these challenges contributed to the non-response rate, or the recording of a "Couldn't Tell" during observation.

3.2 Collection of Data

Staff observed vehicles from the side of the road to record seat belt use by drivers and front seat passengers. Staff were instructed to observe all lanes of traffic, if possible, in one direction of travel, or to note which lane they were observing for sites with 2 lanes in each direction. Observations were made of all front seat occupants (driver and passenger) within a 45-minute time.

A subset of backup sites were also observed to serve as substitutes, if necessary. One primary site proved to need a backup site substitution due to a lack of vehicles to observe in the 45-minute time period selected. This site also lacked enough vehicles to make a 45-minute observation in 2015, something to consider when updating the locations of the test sites in 2018. Locations with a relatively low AADT may not have more than 10 or 11 vehicles pass during a 45-minute period in the off-peak periods.

A typical day of field observation included a driver and one or more additional staff members on an observation team. When multiple staff observers were available, the driver would drop off one observer at the first site, drop the next observer at a second site, wait for the second site to be observed, then backtrack to pick up the first observer before returning back to UVM. For sites that were far from the UVM TRC, normally no more than 2 sites were feasible to be observed in a day. One overnight stay was included in the observation period to eliminate the longest travel times to/from a group of sites in the far southeast corner of the state.

Interstate sites were observed from the emergency turnaround nearest the proposed site, by senior staff, following the protocols required by an Interstate U-Turn Authorization permit (Appendix A). A separate staff person was responsible for the interstate sites as well as obtaining the permit to allow for the TRC vehicle to use the turnaround. A complete summary of the observations for each site is provided in Appendix B.

3.3 Data Analysis

Under the stratified multistage sample design that was used to determine the 82 intended sites, the inclusion probability for each observation in the statewide sample is the product of the inclusion probabilities at each stage (NHTSA, 2011). A total of 8 stages were used in the sample design:

For the location of each observation site:

- a. County Group
- b. Functional Classification of the Roadway
- c. Road Segment

For the specific observations at each site:

- d. Time Segment Observed weekend, weekday non-peak, weekday peak
- e. Travel Direction Observed
- f. Lanes Each Way Observed
- g. Observation Rate
- h. Front Seat Occupants Observed

Therefore, in order to calculate a weighted average of the observation rates at each site, inclusion probabilities corresponding to each of the stratification stages were needed.

The inclusion probabilities for the first 3 stages (a., b., and c.) are directly related to the selection of sites. Since the site locations were maintained from the original NHTSA-approved survey design for Vermont, the combined inclusion probabilities to account for these three location-based stages was already known. These inclusion probabilities are included in the sitedescription table in Appendix B. These inclusion probabilities are based on the vehicle-miles of travel (VMT) represented by the specific site location, which are then divided by the total VMT in the stage-category being considered. The 14 geographic stage-categories are described in Section 2. The VMT represented by each specific site is also provided in Appendix B.

The inclusion probabilities for the Time Segment Observed stage corresponds to the probability of an observation being on a weekend, a non-peak hour of a weekday, or a peak-hour of a weekday. This inclusion probability is also based on the VMT represented by the specific site location divided by the total VMT in the stage-category being considered (weekend, weekday peak, or weekday non-peak).

The inclusion probabilities of the Travel Direction Observed stage corresponds to the probability of an observation being made in both travel directions at its site. Since all of the sites observed in this study were on roads with two-way traffic and only one of those directions was observed in each case (to reduce glare and maximize safety), the inclusion probabilities for all of the sites for Travel Direction Observed were 0.5. This value indicates that, for every site, only one of the two possible travel directions was observed.

The inclusion probabilities of the Lanes Each Way Observed stage corresponds to the probability of an observation being made for all of the travel lanes in each direction at a site. The goal for all of the sites observed in this study was to observe all lanes of travel in the direction chosen for observation. When this was successful, the inclusion probabilities for Lanes Each Way Observed were 1.0. However, at 3 of the sites, 2 lanes of travel were present for the direction chosen, but only one could be observed. At these sites, safety concerns typically prevented the observation staff from getting close enough to the roadside to observe the inner lane. For these 3 sites, the Lanes Each Way Observed inclusion probabilities were 0.5.

The inclusion probabilities of the Observation Rate stage corresponds to the probability of an observation being made for each vehicle that passes. Therefore, these inclusion probabilities correspond to the success rate of observations for the site, or the inverse of the non-response rate. This value was calculated by dividing the number of vehicles where a successful observation was made (Belted or Unbelted) divided by the total number of vehicles that passed during the observation period (Belted or Unbelted + Couldn't Tell).

The inclusion probabilities of the Front Seat Occupants Observed stage correspond to the probability of an observation being made for all of the front-row occupants of a vehicle (driver and passenger) at a site. Since all of the sites observed in this study included observation of all front seat occupants for the site being observed, the inclusion probabilities for all of the sites for Front Seat Occupants Observed were 1.0.

From these inclusion probabilities, a sample weight was calculated for each site y , by taking the inverse of the product of all its inclusion probabilities:

$$
w_y = \frac{1}{\pi_{ifry}\pi_{jy}\pi_{ky}\pi_{ly}\pi_{my}\pi_{ny}}
$$

Where π corresponds to the probability of selection, and the subscripts refer to:

- r –region (CG)
- f -functional classification (FC)
- \bullet *i*-road segment
- \bullet *j*-time segment
- \bullet k · road direction
- \bullet /-lane
- \bullet m –vehicle
- n -front-seat occupant

Once the weights had been calculated for each site, the statewide weighted usage rate (R) was calculated as:

$$
R = \frac{\sum (bd_y + bp_y)w_y}{\sum (bd_y + bp_y + ud_y + up_y)w_y}
$$

Where:

- \bullet *bd_y* is the count of belted drivers at site y
- \bullet *bp_y* is the count of belted passengers at site *y*
- \bullet ud_y is the count of unbelted drivers at site y
- \bullet up_y is the count of unbelted passengers at site y

The unweighted statewide usage rate (r) was also calculated as:

$$
r = \frac{\sum (bd_y + bp_y)}{\sum (bd_y + bp_y + ud_y + up_y)}
$$

But individual raw usage rates can also be calculated at each site ^y as:

$$
r_y = \frac{bd_y + bp_y}{bd_y + bp_y + ud_y + up_y}
$$

The standard error (SE) of the entire survey was then calculated as:

$$
SE = \sqrt{\frac{(\frac{r}{n})(1-\frac{r}{n})}{\sum_{y}(bd_y + bp_y + ud_y + up_y)}}
$$

Where *n* is the number of sites (82) . In the event that the SE exceeds 2.5%, additional observations are taken at existing sites to increase observations until the desired precision is achieved. During the 2016 observation survey it was not necessary to make additional observations since the original SE was below 2.5%.

4 Results

During our field work, a total of 18,982successful observations of seat belt use were made at the 82 sites used to calculate the statewide weighted average. Observations from 1 of the back-up sites was used in place of a primary site which did not have any observations during the 45-minute period when observation was attempted. The final non-response rate was 0.7%. The overall weighted statewide seat belt use rate for Vermont was calculated to be 80.4% and the standard error rate was calculated to 0.2%.

Table 2 provides the raw (unweighted) rates (r) for all observations used to calculate the statewide rate.

Front-Seat Occupant	RawObservation Rate (r)
Driver Only	85.7%
Passenger Only	87.0%
Both	86.0%

Table 2: Raw (Unweighted) Seat Belt Usage Rates

Summary statistics for raw seat belt usage rates at all 82 sites used to calculate the statewide rate are provided in [Table 3.](#page-16-1)

Table 3: Raw Usage Rates

Seat belt use r[ates observed at each of the 82 sites statewide which contributed to the final weighted rate of 80.4% are](#page-18-1)

[Figure 3.](#page-18-1)

Figure 3 Statewide Seat Belt Use Rates

Site-by-site raw seat belt use rates are provided in Appendix C.

5 Conclusions and Discussion of Methodology

In 2016, the weighted average statewide seat belt use rate of 80.4% was found to have decreased significantly from its value of 85.8% in 2015. This apparent decrease was significant enough to warrant attention from an enforcement and policy perspective. However, upon further inspection of the observations, it became apparent that the raw results had not changed appreciably, as seen in [Table 4.](#page-19-1)

Table 4: Seat Belt Rate Comparison 2015 - 2016

In addition, the raw results in 2015 were very similar to the weighted average, but in 2016 the two diverged significantly. Based on this discrepancy, the weighting process dictated by Vermont's NHTSA-approved plan was reviewed carefully and found to misrepresent the use of seat-belts statewide. The primary shortcoming of the NHTSA-approved method is that, for Vermont, the weighting process makes our overall weighted statewide rate significantly affected by the raw rates at just 4 of our 82 observation sites - TRC13, TRC32, TRC50, and TRC56. These four sites alone account for 72% of the total weighting the estimation of a statewide average (see Appendix C for actual site-specific use rates and weights), but comprise only 1% (206) of the 19,696 observations.

Each of these sites is on a low-volume roadway with a relatively low DVMT. The weighting process responds to this by weighting these samples very highly in the geographic probability of selection step, so they have an enormous influence on the overall weighted average, whereas they do not have a significant influence on the raw average. In fact, taking the raw average of these 4 sites alone for 2015 and 2016 gives us a good approximation the statewide weighted average [\(Table 5\)](#page-20-0).

Table 5: Raw Rate for Selected Sites

In particular, TRC13, which is in Barre, Vermont, had a very low rate of seat-belt usage this year (69%), which affected our weighted average significantly. The fact that these 4 sites would be observed alone and provide a fairly accurate idea of the overall statewide weighted average is very troubling. None of the individual observation sites should have such a large influence on the final weighted average. For enforcement and policy purposes, the UVM TRC recommends considering the raw average statewide rate as a more accurate indicator of seat-belt use amongst Vermonters. As such, our conclusion is that the rate has not changed appreciably between 2015 and 2016.

Before the 2018 observations are made, Vermont will have the opportunity to revise its site selections and its statistical process for calculating a weighted average statewide use rate. It will be critical at that time to consider a variety of geographic and statistical methodologies for weighting the sites, along with an increase in the number of sites to be observed. Each of these considerations has the potential to reduce the reliance of the final statewide weighted average on a small subset of the sites, as is the case currently.

Some examples of alternate procedures for developing geographic probabilities of selection based on county groups (CG) and functional classifications (FC) include:

 Re-grouping roadways with a new selection of CGs and FCs so that groups with a low number of sites and low DVMT do not exist – these result in unusually high weights

- Adding sites in groups with a low number of sites and low DVMT to reduce the reliance on individual low-volume sites
- Enforcing a constraint on the variance of the resulting weights and running the process stochastically until the resulting weights meet the constraint
- Developing a new observation process that dramatically increases the DVMT representation of individual observations

In the interest of advancing the last alternate procedure listed, the UVM TRC has been exploring the use of video-based data collection for the purpose of conducting future seat belt observation surveys. The UVM TRC has extensive experience with collecting standard color and thermal video data for traffic counting and snow & ice control performance measurement. These efforts have involved extensive logging of video recordings in roadside and vehicle-mounted environments for visual and automated review back in a secure office environment. The benefit of using video-based data collection is that the visual or automated review of the recorded video can be repeated using different personnel or different computing procedures to improve the quality of methodology.

For these reasons, the use of video-based data collection offers a variety of advantages over the current in-person roadside observation procedure used for Vermont's annual seat belt survey. The ability to mount or drive a

camera at a site or along a driven trajectory will eliminate the need to leave an observer at the roadside, improving the safety for observers and diversifying the variety of people who are capable of conducting the observations. In addition, with video recordings representing the seat belt sites or trajectories, observations can be repeated by different observers so an estimate of the margin of error for a sitespecific observation rate can be made. Objective assessments of observers can be made over time and training can be rapidly improved for more accurate observation rates over time.

Figure 4 Camera Mounted to Roadside Power Pole

Roadside video data collection was pilot tested using equipment that UVM TRC already owned from a previous project aimed at counting non-motorized traffic on a roadway. Permission was obtained to install the camera system on roadside power poles for this test (see Error! Reference source not found.).

Reviewing the video recorded at these types of locations, though, it became clear that a roadside camera mounted on a power pole could be as much as 25 feet from the position of the driver as the vehicle passed, making the observation of the driver's seat belt status very difficult. In addition, this positioning often resulted in an unacceptable level of glare reflection off the windshield of the approaching vehicle, making observation impossible. In fact, it was often easier to discern the belted status of the drivers of vehicles on the far side of the road, as opposed to the near side. The series of images in Figure 5 illustrate the variety of views of the far side of the roadway that are possible with a roadside installation.

Inspired by the types of imagery that are viewable in the still images on Google's Streetview tool, the UVM TRC decided to begin experimenting with a vehicle-mounted camera for collection of trajectory-based video for seat belt observation. Examples of images obtained from Google Streetview of Vermont drivers' and passengers' seat belt status are shown in the series of images in Figure 6.

Figure 5 Video Images Obtained from a Roadside Camera Installation

Figure 6 Drivers and Passengers' Seat Belt Use in Vermont (Google Streetview)

Other images obtained from Google Streetview also reveal drivers' use of portable computing devices while driving, as shown in Figure 7. Google uses a versatile image recording system mounted on top of a vehicle to obtain its imagery (see Figure 8).

Figure 7 Drivers Using Portable Computing Devices While Driving in Vermont (Google Streetview)

Figure 8 The Google Streetview Car

Attempts by the UVM TRC to replicate the image quality obtained from the Google Streetview Car have been unsuccessful but promising. Although the issue of glare has been largely resolved through the use of polarized filters on the camera and the issue of proximity to the driver has been resolved by using a drivers-side camera mounted to record the opposing traffic stream, the resolution obtained by the UVM TRC's effort has not been high enough to discern the belted status of the driver of an opposing vehicle (see Figure 9).

Figure 9 Insufficient Resolution of Imagery Obtained by the UVM TRC

The reason for this poor resolution is largely an issue with the frame rate of the recording. The UVM TRC camera is recording high-definition video at about 30 frames per second. However, since the opposing traffic stream is being recorded, the relative speed of the driver can be over 80 mph, making the resulting still images hazy. The high-definition multimedia interface (HDMI) standard, version 1.4 introduced the kind of bandwidth required to deliver 4K video, but it was limited to about 30 frames per second. Newer HDMI 2.0 cameras can capture 4K video at up to 60 frames per second, allowing a much higher resolution of still images captured from the playback. We expect that a camera with the capability of recording at 60 frames per second will allow the discernment of the belted status of the front-seat occupants of an opposing vehicle in traffic.

The use of a trajectory-based video capture system to assist with the Vermont seat belt observation surveys will significantly enhance the quality of the survey in a variety of ways. As mentioned previously, the availability of recorded video for repeated observations will enhance quality control of the observations. However, this process will also allow observations to be

made in a wider variety of weather conditions, and in multiple seasons, improving the sample representation of year-long vehicle miles of travel in Vermont. Currently, our 82 static observation sites represent only 0.01% of the total annual VMT in Vermont. Trajectory-based observations are expected to dramatically improve that figure, reducing the impact of site specific weights on the resulting weighted average.

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Appendix A: Interstate U-Turn Authorization Permit

Appendix B: Observation Results by 45-Minute Observation Period

Heading Legend:

SID = Observation Site ID Number (internal to study).

TRC ID = Observation site ID for sites observed in 2015

 $CG = Country$ group.

FC = Functional classification of roadway.

 $S =$ Site status – Primary (P) or Back-up (B).

DVMT = Daily vehicle-miles of travel represented by the road segment

SEGID = Agency of Transportation Segment ID

Route = Agency of Transportation highway designation of roadway.

CntSta = Nearest continuous traffic count station.

AADT = Annualized Average Daily Traffic.

 π_{ir} = Probability that a segment is included in its County Group, Functional Classification group, and Segment group.

City or Town = Vermont city or town where the count site was located

Date Observed = Date which observations were conducted.

Driver Belted = Driver was observed wearing a seat belt.

Driver Not Belted = Driver was observed not wearing a seat belt.

Driver Couldn't Tell = Observer could not determine if driver was wearing a seat belt.

Passenger Belted = Passenger was observed wearing a seat belt.

Passenger Not Belted = Passenger was observed not wearing a seat belt.

Passenger Couldn't Tell = Observer could not determine if passenger was wearing a seat belt.

Appendix C: Raw Seat Belt Use Rates by Site

